



LVOX.008A

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Miller et al.  
Appl. No. : 10/789,397  
Filed : February 27, 2004  
For : CALL FLOW OBJECT MODEL IN  
A SPEECH RECOGNITION  
SYSTEM  
Examiner : Unassigned

Group Art Unit 2641

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to indicate a Non-Publication Request. A copy of Applicant's filing transmittal is also enclosed which shows that a Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i) was requested when the above-identified application was filed.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 5, 2004

By: Gregory A. Hermanson  
Gregory A. Hermanson  
Registration No. 53,018  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/789,397	02/27/2004	2641	0.00	LVOX.008A	8	12	3

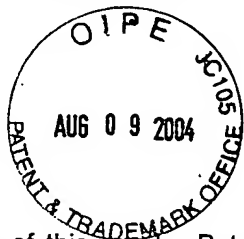
CONFIRMATION NO. 4927

**FILING RECEIPT**



\*OC000000012705324\*

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614



**COPY**

Date Mailed: 05/19/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Edward S. Miller, Residence Not Provided;  
James F. Blake, Residence Not Provided;  
Sidney Schipper, Residence Not Provided;  
Kyle N. Danielson, Residence Not Provided;  
Michael D. Bergman, Residence Not Provided;

**Domestic Priority data as claimed by applicant**

This appln claims benefit of 60/451,353 02/27/2003

**Foreign Applications**

**If Required, Foreign Filing License Granted: 05/18/2004**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

**Non-Publication Request:** ~~No~~ *Yes*

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Call flow object model in a speech recognition system

Preliminary Class

704

COPY

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**UTILITY APPLICATION**

Attorney Docket No.: LVOX.008A

First Named Inventor: Edward S. Miller

Title: CALL FLOW OBJECT MODEL IN A SPEECH  
RECOGNITION SYSTEM

Express Mail Label No.: EV370473028US

**Direct all correspondence to Customer No.: 20995**

Date: February 27, 2004

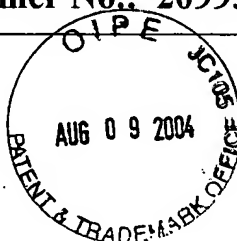
Page 1 of 2

**Mail Stop Patent Application**

United States Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

**COPY**

The following enclosures are transmitted herewith to be filed in the patent application of:

## Inventor(s):

1. Edward S. Miller
2. Kyle N. Danielson
3. James F. Blake
4. Michael D. Bergman
5. Sidney Schipper

**⇒ REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i) ⇐**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

**APPLICATION ELEMENTS:**

(X) Specification in 16 pages.

(X) Drawings in 8 sheets.

Reference to prior domestic applications is made in the:

(X) Reference to prior domestic applications is made in the specification.

**CONTINUITY INFORMATION:**

Application	Relationship	Application No.	Filing Date	Status
This Application	Claims benefit under 35 U.S.C. § 119(e) of	60/451,353	02/27/2003	Pending
This Application	Is related to	10/317,837	12/10/2002	Pending

**OTHER APPLICATION PARTS:**

(X) Return prepaid postcard.

**UTILITY APPLICATION**

Attorney Docket No.: LVOX.008A

First Named Inventor: Edward S. Miller

Title: CALL FLOW OBJECT MODEL IN A SPEECH  
RECOGNITION SYSTEM

Express Mail Label No.: EV370473028US

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Page 2 of 2

**FILING FEES:**

The present application qualifies for small entity status under 37 C.F.R. § 1.27. The fees are calculated below:

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Basic Utility		2001 (\$385)		\$385
Non-English Spec.		1053 (\$130)		\$0
Recordation Fee		8021 (\$40)	0 x 40 =	\$0
Excess Claims > 20	12 - 20 = 0	2202 (\$9)	0 x 9 =	\$0
Independent > 3	3 - 3 = 0	2201 (\$43)	0 x 43 =	\$0
Multiple Claim		2203 (\$145)		\$0
			<b>TOTAL FEE DUE</b>	<b>\$385</b>

(X) The total fees calculated above will be paid at a later date.

**COPY**

David K. Wiggins

Registration No. 54,538

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